

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2023.**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01<sup>st</sup> April, 2019. SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019. Further, SEBI vide its circular dated 07<sup>th</sup> September, 2020 has fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02<sup>nd</sup> December, 2020.

SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to Raja Bahadur International Limited ('the Company') maintained and provided electronically by Satellite Corporate Services Pvt. Ltd., Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 31<sup>st</sup> March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. The Company has not received any requests relating to transfers of securities during the financial year ended 31<sup>st</sup> March, 2023.

1. b. The Company has delivered / sent during year ended on 31<sup>st</sup> March, 2023, share certificates/ letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities and deletion of name received during the financial year ended 31<sup>st</sup> March, 2023, within prescribed time from the respective date of lodgement. As informed to us there were no requests relating to transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies during the said period.

B) Any other information, if applicable: -

**For Parikh Parekh & Associates**  
Company Secretaries

Place: Mumbai

Date: April 19, 2023

Signature:

Mohammad Pillikandlu  
FCS No: 10619 CP No: 14603  
UDIN: F010619E000142621  
PR No.: 723/2020